

SHEET 1 OF 3

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
244818US0CIPSERIAL NO.  
10/733,467

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Veronique FERRARI, et al.

FILING DATE

December 12, 2003

GROUP

1615

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
J.V.	AA	2001/0051171	12/13/2001	Mondet, Jean	X	X	
	AB	5,874,069	02/23/1999	Mendolia, et al.			
	AC	6,166,093	12/26/2000	Mougin, et al.			
	AD	6,682,748	01/27/2004	De La Poterie, et al.			
	AE						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
J.V.	AO	EP 0 974 614	01/26/2000	EPO	X	
	AP	EP 1 116 740	07/18/2001	EPO	X	
	AQ	FR 2 736 057	01/03/1997	France (English Abstract Provided) (Corresponds to US 6,166,093)		X
	AR	FR 2 772 604	06/25/1999	France (English Abstract Provided)		X
	AS	FR 2 808 678	11/16/2001	France (English Abstract Provided) (Corresponds to US 6,814,973)		X
	AT	JP 09-12425	01/14/1997	Japan (English Abstract Provided) (Corresponds to US 6,166,093)		X
	AU	JP 09-71505	03/18/1997	Japan (English Abstract Provided)		X
	AV	JP 11-236314	08/31/1999	Japan (English Abstract Provided) (Corresponds to US 6,682,748)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Notice of Reasons for Rejection in Japanese Application No. 2004-513427 mailed 10/03/2006, 4 pp.	
	AX		
	AY		
	AZ		

☐ Additional References sheet(s) attached

Examiner /Jyothsna Venkat/

Date Considered 07/20/2007

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>244818US0CIP</b>		SERIAL NO. <b>10/733,467</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Veronique FERRARI, et al.</b>			
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J.V. ↓ V	AO	JP 2000-38450	02/08/2000	Japan (English Abstract Provided) (Corresponds to US 5,981,680)		X	
	AP	JP 2001-503070	03/06/2001	Japan (English Abstract Provided) (Corresponds to WO 99/22710)		X	
	AQ	JP 2001-512164	08/21/2001	Japan (English Abstract Provided) (Corresponds to WO 99/06473)		X	
	AR	JP 2001-520980	11/06/2001	Japan (English Abstract Provided) (Corresponds to WO 99/21528)		X	
	AS	JP 2001-81009	03/27/2001	Japan (English Abstract Provided)		X	
	AT	JP 2002-12514	01/15/2002	Japan (English Abstract Provided) (Corresponds to US 2001/051171)		X	
	AU	WO 00/09587	02/24/2000	WIPO (English Abstract Provided) (Corresponds to US 6,503,632)		X	
	AV	WO 97/36572	10/09/1997	WIPO	X		
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner <b>/Jyothisna Venkat/</b>					Date Considered <b>07/20/2007</b>		
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//V//	AO	WO 99/06473	02/11/1999	WIPO	X		
	AP	WO 99/21528	05/06/1999	WIPO	X		
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Docket No.: 244818USOCIP

Serial No.: 10/733,467

Inventor: Veronique FERRARI, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: December 12, 2003

Group: 1615

**LIST OF RELATED CASES**

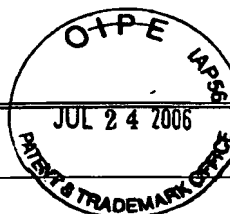
<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	<del>244818US0*</del>	<del>10/733,467</del>	<del>12/12/03</del>	<del>US2004/0170586</del>	<del>FERRARI, et al.</del>
/J.V./	285142US0	11/342,748	01/31/06	A1	BLIN, et al.

Examiner /Jyothsna Venkat/

Date Considered

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\*Present Application; listed for information  
NFO/sbc

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December 12, 2003GROUP  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/J.V./	AA	5,512,272	04/30/96	Krzysik, D.			
/J.V./	AB	6,682,748	01/27/04	De La Poterie, et al.			
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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
/J.V./	AO	JP2001-081009	3/27/01	Japan (English Abstract Provided)		X
	AP	JP09-071505	03/18/97	Japan (English Abstract Provided)		X
	AQ	JP2001-503070	03/06/01	Japan (Corresponds to WO/9922710)		X
	AR	W099/22710	05/14/99	WIPO (Corresponds to JP2001-503070)	X	
	AS	JP08-239316	10/04/94	Japan (Corresponds to EP 0 709083)		X
	AT	EP 0 709083	05/01/96	EPO (Corresponds to JP 08-239316)	X	
	AU	JP11-236314	08/31/99	Japan (Corresponds to US 6,682,748)		X
	AV	JP02-25411	01/26/90	Japan (English Abstract Provided)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

↓	AW	Notice of Rejection for Japanese Patent Application 2004-512707 Issued June 6, 2006 (w/English Translation).				
	AX					
	AY					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
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	<del>244818US0*</del>	<del>10/733,467</del>	<del>12/12/03</del>	<del>US2004 0170586 A1</del>	<del>FERRARI, et al.</del>
/J.V./	272632US0	11/254,919	10/21/05		LU, et al.

Examiner

/Jyothsna Venkat/

Date Considered

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\*Present Application; listed for information  
NFO/hah

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## U.S. PATENT DOCUMENTS

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/J.V./	AA	2,823,218	02/11/58	Speier, et al.			
	AB	2003/0228333	12/11/03	Fecht, et al.			
	AC	2004/0197285	10/07/04	Heidi Marie Van Dort			
	AD	2004/0223936 A1	11/11/04	Fecht, et al.			
	AE	2002/0028223 A1	03/07/02	Michael Lee Vatter, et al.			
	AF	6,376,078	04/23/02	Yoshinori Inokuchi			
	AG	6,177,091	01/23/01	I. Bara, et al.			
	AH						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
/J.V./	AO	EP 1 266 647	12/18/02	EPO (English Abstract Provided)		X
	AP	EP 0 594 285 A2	04/27/94	EPO	X	
	AQ	WO 2004/054523	07/01/04	WIPO	X	
	AR	WO 2004/054524	07/01/04	WIPO	X	
	AS	WO 01/97758 A2	12/27/01	WIPO	X	
	AT	EP 1 266 648	12/18/02	EPO (English Abstract Provided)		X
	AU	WO 03/101412 A2	12/11/03	WIPO	X	
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

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<del>244818US0*</del>	<del>10/733,467</del>	<del>12/12/03</del>	<del>US2004- 0170586 A1</del>	<del>FERRARI, et al.</del>
/J.V./ 263326US0	11/009,088	12/13/04	US2005- 0158260 A1	FERRARI, et al.
272537US0PCT	10/538,920	06/13/05		BLIN, et al.
272535US0PCT	10/538,924	06/13/05		TOURNILHAC, et al.
275576US0	11/193,444	08/01/05		CHEN, et al.
264558US0PCT	10/517,390	12/10/04		FERRARI, et al.
263814US0CIP	11/024,471	12/30/04		BLIN, et al.
272887US0	11/217,293	09/02/05		BUI, et al.

\* Present Application; listed for information  
NFO/amr/hah



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J.V./	AA	2,823,195	02/11/58	Shorr, et al.			
	AB	3,723,566	03/27/73	Thompson, et al.			
	AC	4,322,400	03/30/82	E. Yuhas			
	AD	5,262,505	11/16/93	Nakashima, et al.			
	AE	5,407,986	04/18/95	Furukawa, et al.			
	AF	5,412,004	05/02/95	Tachibana, et al.			
	AG	5,473,041	12/05/95	M. Itoh			
	AH	5,567,428	10/22/96	K. Hughes			
	AI	5,725,882	03/10/98	Kuman, et al.			
	AJ	5,837,223	11/17/98	Barone, et al.			
	AK	5,874,069	02/23/99	Mendolia, et al.			
	AL	5,919,441	07/06/99	Mendolia, et al.			
	AM	5,981,680	11/09/99	Petroff, et al.			
	AN	5,985,297	11/16/99	Mellul, et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	GB 134 8783	03/20/74	London	X	
	AP	EP 0 377 447 A2	07/11/90	EPO	X	
	AQ	EP 0 693 517 A1	01/24/96	EPO	X	
	AR	WO 97/36572	10/09/97	WIPO	X	
	AS	WO 97/36573	10/09/97	WIPO	X	
	AT	FR 2 765 800	01/15/99	France (English Abstract Provided)		X
	AU	WO 99/47111	01/15/99	WIPO		X
	AV	WO 99/06473	02/11/99	WIPO	X	

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Dow Corning® 2-8178 Gellant, Ref. No. 27-1055-01, August 2002, 35 pp.				
	AX	Dow Corning® 2-8178 Gellant, Product Information Personal Care, 6 pp.				
	AY	Shin-Etsu Silicones for Personal Care; Product Brochure, KSP-100-101-102-103-104-105 "Hybrid Silicone Powders for Personal Care"				
	AZ	Shin-Etsu Silicones for Personal Care; Product Brochure, KSP-200-300 "Hybrid Silicone Powders containing Fluoroalkyl or Phenyl group for Personal Care"				<input type="checkbox"/> Additional References sheet(s) attached

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J.V.	AA	6,045,782	04/04/00	Krog, et al.	X	X	
	AB	6,051,216	04/18/00	Barr, et al.			
	AC	6,060,072	05/09/00	Konik, et al.			
	AD	6,103,250	08/15/00	Brieva, et al.			
	AE	6,353,076 B1	03/05/02	Barr, et al.			
	AF	6,362,287	03/26/02	Chorvath, et al.			
	AG	6,362,288	03/26/02	Brewer, et al.			
	AH	6,423,324	07/23/02	Murphy, et al.			
	AI	6,426,062	07/30/02	Chopra, et al.			
	AJ	6,451,295 B1	09/17/02	Cai, et al.			
	AK	6,541,017	04/01/03	Lemann, et al.			
	AL	6,503,632 B1	01/07/03	Hayashi, et al.			
	AM	6,524,598	02/25/03	Sunkel, et al.			
	AN	6,569,955 B1	05/27/03	Brewer, et al.			
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				YES	NO		
	AO EP 0 923 928	06/23/99	EPO (English Abstract Provided)		X		
	AP EP 1 048 686	11/02/00	EPO	X			
	AQ EP 1 068 856	01/17/01	EPO (English Abstract Provided)		X		
	AR WO 01/09239 A1	02/08/01	WIPO	X			
	AS WO 01/97758 A2	12/27/01	WIPO	X			
	AT WO 02/17870 A2	03/07/02	WIPO	X			
	AU WO 02/17871 A2	03/07/02	WIPO	X			
	AV WO 02/089760 A1	11/14/02	WIPO	X			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	English Language Derwent Abstract of EP 0 923 928, June 23, 1999					
	AX	English Language Derwent Abstract of EP 1 068 856, January 17, 2001					
V	AY	English Language Derwent Abstract of FR 2 765 800, January 15, 1999					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
<div style="font-size: 2em;">↓</div>	AA	2002/0048557 A1	04/25/02	Cai, et al.			
	AB	2003/0068348	04/10/03	Ferrari, et al.			
	AC	2003/0072730	04/17/03	Tournilhac			
	AD	2003/0082129 A1	05/01/03	Buckingham, et al.			
	AE	2003/0170188	09/11/03	Ferrari, et al.			
	AF	2003/0232030 A1	12/18/03	Lu, et al.			
	AG	2003/0235548 A1	12/25/03	Lu, et al.			
	AH	2003/0235552	12/25/03	Yu, W.			
	AI	2003/0235553 A1	12/25/03	Hansenne, et al.			
	AJ	2004/0001799 A1	01/01/04	Lu, et al.			
	AK	2004/0115153 A1	06/17/04	W. Yu			
	AL	2004/0115154 A1	06/17/04	W. Yu			
	AM	2004/0120912 A1	06/24/04	W. Yu			
	✓ AN	2004/0126336 A1	07/01/04	Hansenne, et al.			
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	AR						
	AS						
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	AU						
	AV						
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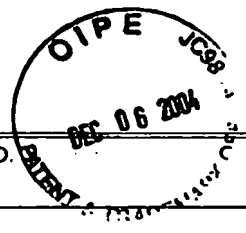
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				FILING DATE <b>December 12, 2003</b>		GROUP <b>1615</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
<b>J.V.</b>	AA	2004/0170586 A1	09/02/04	Ferrari, et al.			
	AB	2004/0180032 A1	09/16/04	Manelski, et al.			
	AC	2005/0020769 A1	01/27/05	Lu, et al.			
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	AI						
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	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
				<input type="checkbox"/> Additional References sheet(s) attached			
Examiner <b>/Jyothsna Venkat/</b>				Date Considered <b>07/20/2007</b>			
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							



# LIST OF RELATED CASES

	<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
I.J.V./	258693US0 CONT	10/935,352	9/8/04		LU, et al.
↓	224330US0	10/166,648	6/12/02	US 2003- 0235548 A1	LU
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	<del>244816US0 PROV</del>	<del>60/528,689</del>	<del>12/12/03</del>		<del>LU, et al.</del>
	<del>244818US0*</del>	<del>10/733,467</del>	<del>12/12/03</del>	<del>US2004- 0170586 A1</del>	<del>FERRARI, et al.</del>
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\*Present Application; listed for information  
NFO/amr



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PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.  
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	AM	2004/0170586 A1	09/02/04	Ferrari, et al.			
	AN						

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
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	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner /Jyothsna Venkat/

Date Considered 07/20/2007

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

5719/04

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	<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
/J.V./	244818US0*	10/733,467	12/12/03	FERRARI, et al.
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/Jyothsna Venkat/

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	PROV				

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